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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: An Duc Nguyen ET AL.

Appln. No.: 10/821,673

Filed: April 9, 2004

For: Acoustic Transducer with
Mechanical Balancing

Attorney Docket No: 11336/711 (P03034USU2)

Examiner:

Art Unit: 2643

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

U.S. Patent Documents

No.	Date	Applicant
4,056,697	11-01-1977	Heil
4,385,210	05-24-1983	Marquiss
4,550,228	10-29-1985	Walker
4,903,308	02-20-1990	Paddock
5,283,836	02-01-1994	Trufitt
5,872,855	02-16-1999	Porrazzo
6,137,891	10-24-2000	Porrazzo

Foreign Patent Documents

No.	Date	Country
WO 00/41492 A2,A3	07-20-2000	WIPO
WO 02/03748 A2,A3	01-10-2002	WIPO

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

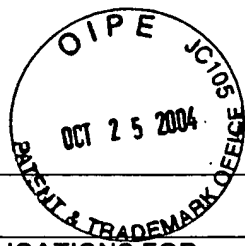
Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 25, 2004
Date



Cyrus F. Bharucha (Reg. No.42,324)



FORM PTO-1449	SERIAL NO. 10/821,673	CASE NO. 11336/711 (P03434USU2)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE April 9, 2004	GROUP ART UNIT 2643
(use several sheets if necessary)		APPLICANT(S): An Duc Nguyen, <i>et al.</i>

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,056,697	11-01-1977	Heil	381/408	09-03-1976
	A2	4,385,210	05-24-1983	Marquiss	381/431	09-19-1980
	A3	4,550,228	10-29-1985	Walker	381/186	02-22-1983
	A4	4,903,308	02-20-1990	Paddock	381/430	02-10-1988
	A5	5,283,836	02-01-1994	Trufitt	381/428	03-23-1992
	A6	5,872,855	02-16-1999	Porrizzo	381/400	03-22-1995
	A7	6,137,891	10-24-2000	Porrizzo	381/408	05-05-1997

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A8	WO 00/41492 A2,A3	07-20-2000	WIPO		
	A9	WO 02/03748 A2,A3	01-10-2002	WIPO		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.